



Goldhorse Securities Limited

金馬證券有限公司

Participants of The Stock Exchange of Hong Kong Limited

香港聯合交易所有限公司參與者

SFC C.E. No. 證監會中央編號: BFU406

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股票交收指示表格 Securities Settlement Instruction

帳戶號碼 A/C No. : _____

帳戶名稱 A/C Name : _____

本人/吾等特此通知貴公司 I/We hereby instruct your Company to:

將下列股票存入本人/吾等之證券戶口

由本人/吾等之證券戶口提取下列股票

RECEIVE the following securities for my/our securities account

DELIVER the following securities for my/our securities account

請在適當空格加上 ✓ 號 Please put a tick in the appropriate box

<input type="checkbox"/> 香港市場 HK Market*	<input type="checkbox"/> 投資產品 Investment Product*	<input type="checkbox"/> 美國市場 U.S. Market*	<input type="checkbox"/> 海外市場 Foreign Market*
交收對手名稱 Name of Counter-party:			
聯絡人姓名 Contact Person:		電話 Telephone No.:	
交收對手/投資者中央結算代號 Counter-party / Investor CCASS I.D. No.		交收日期 Settlement Date:	
Clearstream / Euroclear / CMU No:		美國結算編號 US DTC No:	

國際證券號碼/股票 編號 ISIN code/ Stock code	產品/股票名稱 Name of Product/Stock	數量/股數 Quantity/Number of Shares	交收方式 Settlement Method
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment 金額 Amount :
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment 金額 Amount :
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment 金額 Amount :
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment 金額 Amount :
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against payment 金額 Amount :

1. 本人(等)同意是次轉倉指示並不導致上述產品/股票實益擁有權改。
I/we hereby consent that there is no change in beneficial ownership involved in this settlement instruction.
2. 本人(等)確認是次轉倉指示一切開支於本人(等)上述賬戶中扣取。
I/we confirm to debit any costs due to this settlement instruction in my/ our account as described above.

客戶簽署(公司客戶請加蓋公司蓋章)

Client Signature (Company chop added for Corporate Client)

日期 Date

****注 Remarks****: 如欲即日完成轉倉程序請於當日上午 11:00 前提交此轉倉指示予我司; 實際完成轉倉日期以閣下結單記錄為準。 Please send us this form before 11:00 am on the settlement day if you request for same day settlement. Exact settlement day please refer to the statement.

本公司專用 For Office Use Only			
AE / CS	Settlement	Risk Dept (if applicable)	Responsible Officer(if applicable)