



Goldhorse Securities Limited 金馬證券有限公司

SFC C.E. No 證監會中央編號: BFU406

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Fund Subscription Form

基金認購申請表

Please complete in **BLOCK LETTERS**. 請以英文正楷填寫。

Full Name of Main Account Holder (as in HKID/Passport) / Corporate Account 主要賬戶持有人姓名(須與身份證明文件相同)/公司賬戶名稱	Client Account No. 客戶賬戶號碼
	Client Risk Profile 客戶風險取向

Subscription 認購

Security Code 證券編號	Security Name 證券名稱	Product Risk Level 產品風險評級	Security Currency 證券貨幣	Upfront Charge 認購費	Payment Currency 付款貨幣	Investment Amount 投資金額
				%		
				%		
Total Investment Amount 投資總金額						

Important Notes 重要事項

The product(s) you are going to purchase might involve derivatives which might not match with your risk profile, you are recommended to read the offering documents for the specific risks associated with the product(s). If you wish to purchase the products despite the mismatch, you shall consult your independent investment Adviser and understand the rationale of your Adviser's recommendation on the product(s) in order to ensure they are suitable to and for the best interests of you.

閣下將投資的產品或涉及衍生產品並可能與閣下的風險範圍不相符，因此建議閣下閱讀相關產品之銷售文件以清楚了解產品的特定風險。若閣下在該不相符的情況下仍然希望投資該產品，閣下應該查詢閣下的獨立投資顧問並了解閣下的顧問建議投資該產品的依據以確保適合及維護閣下的最佳利益。

Goldhorse Securities Limited will receive a trailer commission (up to xx%), if any, which is a commission rebated by the product issuers based on the net asset value under management of units in the securities arising from your subscription.

金馬證券有限公司將收取由產品發行者提供的銷售佣金(上限為 xx%) (如適用)，根據由閣下交易並持有的證券資產總值計算。

Please note the dividend payout in cash will be directly credited into your securities account by default.

現金派息之款項將預設為直接存入證券賬戶。

Declaration 聲明

I/We hereby confirm that I/we have received the relevant prospectuses of all the securities subscribed to, have read and understood the contents of them and acknowledge that there are risks involved in investment in securities and accept those risks.

本人/吾等現確認已收悉所有認購之證券之相關章程，已參閱及明白其內容，並了解證券投資涉及風險並接受該等風險。

I/We apply to invest in the securities according to the terms of the relevant prospectuses and other constituent documents of the relevant securities, and in accordance with the Goldhorse Securities Limited Client Agreement.

本人/吾等按有關證券的章程及其他組成文件的條款，並根據金馬證券有限公司客戶協議作證券投資。

In accordance with Anti-Money Laundering requirements, I/we hereby consent to Goldhorse Securities Limited to make reasonable enquiries for the purpose of verifying the information disclosed and consent to Goldhorse Securities Limited obtaining information about me/us.

根據防止清洗黑錢要求，本人/吾等據此同意財富顧問及金馬證券有限公司為確實所提供的資料作合理的查詢，亦同意財富顧問及金馬證券有限公司查詢本人/吾等的資料。

I/We confirm that I/we have read and understood the Goldhorse Securities Limited Client Agreement and accept them in full.

本人/吾等確定本人/吾等已閱讀並明白及全面接受金馬證券有限公司之客戶協議。

I/We agree and acknowledge that the value of the investment(s) may go down and up and by instructing Goldhorse Securities Limited to apply for any investment on my/our behalf, this risk which I am/we are prepared to accept. Goldhorse Securities Limited shall have no liability whatsoever to me/us for any losses I/we may suffer as a result of the performance of any investment(s). If there is any foreign exchange conversion involved for the above transaction(s), I/we agree to the foreign exchange rates contracted by Goldhorse Securities Limited and/or its custodian.

本人/吾等同意並了解，投資價值可升可跌，本人/吾等委託金馬證券有限公司代為投資，並願意承擔此等風險。任何因投資表現所造成的損失，金馬證券有限公司均毋須對本人/吾等負上任何責任。如上述交易需要進行貨幣兌換，本人/吾等同意使用金馬證券有限公司或其託管人所定的匯率。

I/We consent to the right reserved by Goldhorse Securities Limited in declining any instruction, and hereby confirm that Goldhorse Securities Limited shall not be liable for any losses incurred in relation to any instruction.

本人/吾等同意金馬證券有限公司保留拒絕任何交易指示的權利，並確認金馬證券有限公司並不就有關任何交易指示所產生的損失負責。

I/We agree that all personal data provided may be used by Goldhorse Securities Limited in connection with verification/administration procedures, or disclosed for any other purposes as defined in the Personal Data (Privacy) Ordinance. I/We have read and agreed the Personal Information Collection Statement.

本人/吾等同意金馬證券有限公司可以使用上述所提供的全部個人資料，作為身份核證/行政程序，或其他按《個人資料(私隱)條例》所定的任何用途。本人/吾等已閱讀並同意個人資料收集聲明。

Signature 簽署

In the case of a Corporate Account, a duly authorised officer must sign. 若為公司賬戶，須由授權的職員簽署。

Individual Investor/Joint Investor/Corporate Investor 個人投資者/聯名投資者/公司投資者 (if applicable 如適用)

Signature of Account Holder/Signature & Full Name of Authorised Officer of Corporate Account 主要賬戶持有人簽署/公司賬戶授權簽署人姓名及簽署: Full Name of Authorised Officer 授權簽署人姓名	Date 日期: dd 日/mm 月/yyyy 年
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For Internal Use 供內部使用

Signature of Handling Staff:	CE No. 中央編號:	Checked and approved by:	Date 日期: dd 日/mm 月/yyyy 年
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